

02/28/02

PATENT APPLICATION
Docket No.: 2932.1008-000
(Formerly OMRP 182)

Applicants:

INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

Typed or printed name of person signing certificate

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Arlington, VA 22202

Pursuant to Formal Drawings filed on February 13, 2001, the number under DRAWINGS should read "12."

EXHIBIT

1

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Pursuant to the Revocation of Powers of Attorney and Appointment of New Attorneys and/or Agents being filed concurrently herewith, the correspondence address should read:

**Customer No. 21005
Hamilton, Brook, Smith & Reynolds, P.C.
530 Virginia Road
P.O. Box 9133
Concord, MA 01742-9133**

Pursuant to the previously-filed Declaration for Utility or Design Patent Application, the **Applicant(s)** section should read:

**Jordan J. N. Tang, Edmond, OK;
Arun K. Ghosh, River Forest, IL.**

Also, errors/inconsistencies in priority data have been noted by an "*" in the Filing Receipt. The **Continuing Data as Claimed by Applicant** section should read:

**THIS APPLICATION CLAIMS BENEFIT OF 60/141,363 06/28/1999,
60/168,060 11/30/99,
60/177,836 01/25/2000,
60/178,368 01/27/2000 and
60/210,292 06/08/2000.**

The above corrections to the Continuing Data section should be consistent with USPTO records.

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Declaration for Utility or Design Patent Application.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. Please provide a corrected Filing Receipt indicating the new attorney docket number and corrected DRAWINGS section, correspondence address, Applicant(s) section

09/603,713

-3-

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and Continuing Data as Claimed by Applicant. Please charge Deposit Account No. 08-0380 for any fees that may be due in this matter. One additional copy of this document is enclosed for accounting purposes.

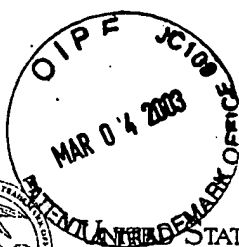
Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mary K. Murray
Mary K. Murray
Registration No. 47,813
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: March 7, 2002



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/603,713	06/27/2000	1614	437	OMRF 182 2932.1008-000	40-12	23	3

FILING RECEIPT



OC000000005449109

~~Patricia L. Pabst~~~~Arnall Golden & Gregory LLP~~~~2800 One Atlantic Center~~~~1201 West Peachtree Street~~~~Atlanta, GA 30309-3450~~~~Customer No. 21005~~~~Hamilton, Brook, Smith & Reynolds, P.C.~~~~530 Virginia Road~~~~P.O. Box 9133~~~~Concord, MA 01742-9133~~

Date Mailed: 10/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jordan J.N. Tang, Edmond, OK;

~~Lia Hong, Oklahoma City, OK;~~

Arun K. Ghosh, River Forest, IL;

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Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/141,363 06/28/1999,

~~WHICH CLAIMS BENEFIT OF 60/168,060 11/30/1999;~~~~WHICH CLAIMS BENEFIT OF 60/177,836 01/25/2000;~~~~WHICH CLAIMS BENEFIT OF 60/178,368 01/27/2000 *~~ and~~WHICH CLAIMS BENEFIT OF 60/210,292 06/08/2000 *~~

(*) Data inconsistent with PTO records.

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Foreign Applications

If Required, Foreign Filing License Granted 08/18/2000

** SMALL ENTITY **

Title

Inhibitors of memapsin 2 and use thereof

Preliminary Class

514

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BY 908
DATE 10-12-00

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PATENT DEPT.



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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	OMRF 182
First Named Inventor	Jordan J. N. Tang
COMPLETE IF KNOWN	
Application Number	09 / 603,713
Filing Date	June 27, 2000
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

the specification of which (Title of the Invention)

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT International

Application Number 09/603,713 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input checked="" type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/141,363	06/28/1999	
60/168,060	11/30/1999	
60/177,836	01/25/2000	
60/178,368	01/27/2000	

[Page 1 of 2]

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OMRF 182

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
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Name	Registration Number	Name	Registration Number
Patrea L. Pabst	31,284	Felipe J. Farley	38,445
Robert A. Hodges	41,074	Zhaoyang Li	P-46,872
Kevin W. King	42,737		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Patrea L. Pabst				
Address	Arnall Golden & Gregory, LLP				
Address	2800 One Atlantic Center, 1201 West Peachtree Street				
City	Atlanta	State	GA	ZIP	30309-3450
Country	United States	Telephone	(404)873-8794	Fax	(404)873-8795

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Jordan J. N.		Tang	
Inventor's Signature	Date		
Residence: City	Edmond	State	OK
		Country	US
Post Office Address	1204 Leawood Drive		
Post Office Address			
City	Edmond	State	OK
		ZIP	73034
		Country	US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Arun K.				Ghosh			
Inventor's Signature						Date	
Residence: City	River Forest	State	IL	Country	US	Citizenship	IN
Post Office Address 1407 Clinton Place							
Post Office Address							
City	River Forest	State	IL	ZIP	60305	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Filed: June 27, 2000

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DECLARATION — Supplemental Priority Data Sheet

Additional foreign applications:

[illegible]

Additional provisional applications:

Application Number	Filing Date (MM/DD/YYYY)
60/210,292	06/08/2000

Additional U.S. applications:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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OMRF 182

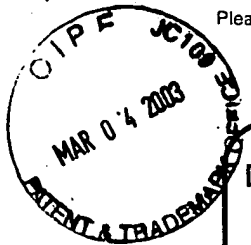
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JUN 04 2003

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☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

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First Named Inventor	Jordan J. N. Tang
COMPLETE IF KNOWN	
Application Number	09 / 603,713
Filing Date	June 27, 2000
Group Art Unit	
Examiner Name	

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the specification of which

(Title of the Invention)

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT International

Application Number 09/603,713 and was amended on (MM/DD/YYYY) (if applicable).

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I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Filed: June 27, 2000

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Please type a plus sign (+) inside this box → ☐**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar Code
Label here

Name	Registration Number	Name	Registration Number
Patrea L. Pabst	31,284	Felipe J. Farley	38,445
Robert A. Hodges	41,074	Zhaoyang Li	P-46,872
Kevin W. King	42,737		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number OR ☒ Correspondence address below

Name	Patrea L. Pabst				
Address	Arnall Golden & Gregory, LLP				
Address	2800 One Atlantic Center, 1201 West Peachtree Street				
City	Atlanta	State	GA	ZIP	30309-3450
Country	United States	Telephone	(404)873-8794	Fax	(404)873-8795

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Jordan J. N.		Tang	
Inventor's Signature			Date
Residence: City	Edmond	State	OK
		Country	US
Post Office Address	1204 Leawood Drive		
Post Office Address			
City	Edmond	State	OK
		ZIP	73034
		Country	US

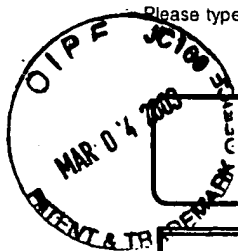
☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Arun K.				Ghosh			
Inventor's Signature	Arun K. Ghosh.			Date	7-13-00		
Residence: City	River Forest	State	IL	Country	US	Citizenship	IN
Post Office Address	1407 Clinton Place						
Post Office Address							
City	River Forest	State	IL	ZIP	60305	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
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City		State		ZIP		Country	

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Filed: June 27, 2000

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION — Supplemental Priority Data Sheet

Additional foreign applications:

[illegible]

Additional provisional applications:

Application Number	Filing Date (MM/DD/YYYY)
60/210,292	06/08/2000

Additional U.S. applications:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
			<div data-bbox="1224 1436 1450 1734" style="text-align: right;"> REC JUN 04 2014 TECH CENTER 1600 </div>

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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
530 Virginia Road, P.O. Box 9133
Concord, MA 01742-9133

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Telephone: (978) 341-0036

Facsimile: (978) 341-0136



FACSIMILE COVER SHEET

Examiner: Abdel Mohamed **Group:** 1653

Date: March 7, 2002

Client Code: 2932

Facsimile No.: 1-703-308-4242

From: Mary K. Murray, Ph.D.

Subject: Paper: Petition for Extension of Time, Reply to Restriction Requirement and Preliminary Amendment, Amendment Fee Transmittal, Request for Change of Docket Number and Corrected Filing Receipt with attachments, Executed Revocations of Power of Attorney for The Board of Trustees of the University of Illinois and Oklahoma Medical Research Foundation

Docket No.: 2932.1008-000 (Formerly OMRF 182)

Applicants: Jordan J.N. Tang and Arun K. Ghosh

Serial No.: 09/603,713

Filing Date: June 27, 2000

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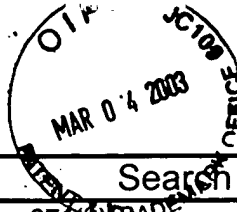
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EXHIBIT

B



Search results for application number: 09/603,713

Filing or 371(c) Date:	06-27-2000	Class / Sub-Class:	514/012.000
Issue Date of Patent:	-	Location:	TC 1600 LDRG TEAM 2, CM1-9C10
Examiner Name:	MOHAMED, ABDEL A	Status:	Response to Non-Final Office Action Entered and Forwarded to Examiner
Group Art Unit:	1653	Attorney Docket Number:	2932.1008-000
Earliest Publication No:	-	Patent Number:	-
Earliest Publication Date:	-	Customer Number:	21005
Confirmation Number:	4604		

Foreign Priority

Continuity Data

Publication Review

File Contents History

Number	Date	Contents Description
29	02-11-2003	Information Disclosure Statement (IDS) Filed
28	01-14-2003	Date Forwarded to Examiner
27	12-24-2002	Response after Non-Final Action
26	12-24-2002	Request for Extension of Time - Granted
25	10-04-2002	Petition Decision - Granted
24	09-27-2002	Information Disclosure Statement (IDS) Filed
23	08-20-2002	Petition Entered
22	07-23-2002	Information Disclosure Statement (IDS) Filed
21	06-17-2002	Mail Non-Final Rejection
20	06-17-2002	Non-Final Rejection
19	03-15-2002	Correspondence Address Change
18	03-18-2002	Change in Power of Attorney (May Include Associate POA)
17	03-15-2002	Date Forwarded to Examiner
16	03-08-2002	Response to Election / Restriction Filed
15.9	03-15-2002	Delete Transaction
14.9	03-15-2002	Delete Transaction
13	03-08-2002	Request for Extension of Time - Granted
12	03-04-2002	Examiner Interview Summary Record (PTOL - 413)
11	09-27-2001	Mail Restriction Requirement
10	09-27-2001	Requirement for Restriction / Election
9	09-06-2001	Error(s) in CRF Corrected by STIC
8	02-15-2001	New or Additional Drawing Filed
7	11-02-2000	New or Additional Drawing Filed
6	10-23-2000	Case Docketed to Examiner in GAU
5	10-03-2000	Application Dispatched from OIPE
4	08-18-2000	Correspondence Address Change
3	07-19-2000	Application Scanned

EXHIBIT

C

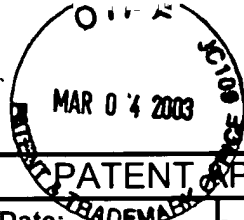
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2	06-27-2000	CRF Is Go d Technically / Entered into Database
1	06-27-2000	Initial Exam Team nn

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PATENT APPLICATION Number: 09/603,713	
Proj. Publication Date:	-
U.S. Filing or 371(c) Date:	06-27-2000
PCT Filed:	-
PCT Number:	-
Title of Invention:	Inhibitors of memapsin 2 and use thereof
Inventor Name(s):	Jordan Tang, Edmond, OK Lin Hong, Oklahoma City, OK Arun Ghosh, River Forest, IL
Correspondence Name and Address:	HAMILTON, BROOK, SMITH & REYNOLDS, P.C. - 530 VIRGINIA ROAD P.O. BOX 9133 CONCORD, MA 01742-9133 (US)
Assignee Name and Address:	-
Applicant Selected Figure Published:	-
Other Publications of this Application:	-
Parent Continuity Data:	Claims Priority from Provisional Application 60/141,363 filed on JUN. 28, 1999 Claims Priority from Provisional Application 60/168,060 filed on NOV. 30, 1999 Claims Priority from Provisional Application 60/177,836 filed on JAN. 25, 2000 Claims Priority from Provisional Application 60/178,368 filed on JAN. 27, 2000 Claims Priority from Provisional Application 60/210,292 filed on JUN. 08, 2000
Foreign Application Priority Data:	-

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Search results for application number: 09/603,713			
Filing or 371(c) Date:	06-27-2000	Class / Sub-Class:	514/012.000
Issue Date of Patent:	-	Location:	TC 1600 LDRC TEAM 2, CM1-9C10
Examiner Name:	MOHAMED, ABDEL A	Status:	Response to Non-Final Office Action Entered and Forwarded to Examiner
Group Art Unit:	1653	Attorney Docket Number:	2932.1008-000
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Earliest Publication Date:	-	Customer Number:	21005
Confirmation Number:	4604		

[File Contents History](#)
[Foreign Priority](#)
[Publication Review](#)

Parent Continuity Data			
Description	Parent Number	Parent Filing or 371(c) Date	Parent Status
Claims Priority from Provisional Application	60/141,363	06-28-1999	Pending
Claims Priority from Provisional Application	60/168,060	11-30-1999	Pending
Claims Priority from Provisional Application	60/177,836	01-25-2000	Pending
Claims Priority from Provisional Application	60/178,368	01-27-2000	Pending
Claims Priority from Provisional Application	60/210,292	06-08-2000	Pending

Child Continuity Data
09/845,226 filed on 04-30-2001 which is Pending claims the benefit of 09/603,713

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